

# **Non-specular reflection of convergent beams from liquid-solid interface**

**Réflexion non spéculaire de faisceaux convergents par une interface solide-liquide**

Henry L. BERTONI

Department of Electrical Engineering and Computer Science, Polytechnic Institute of New York, 333 Jay St., Brooklyn, NEW YORK 11201

Professor Bertoni has carried out research and lectured on topics of acoustic and electromagnetic wave propagation and scattering. One aspect of these studies has been to clarify and apply the critical angle phenomena that occur when a bounded beam is reflected from layered and periodic structures. His current research interests include the application of critical angle phenomena to material characterization using the acoustic microscope.

C. W. HSUE

Department of Electrical Engineering and Computer Science, Polytechnic Institute of New York, 333 Jay St., Brooklyn, NEW YORK 11201

T. TAMIR

Department of Electrical Engineering and Computer Science, Polytechnic Institute of New York, 333 Jay St., Brooklyn, NEW YORK 11201

## **SUMMARY**

The lateral shift and profile distortion of a bounded beam incident at the Rayleigh angle from a liquid onto a solid has been studied in the past for parallel or divergent beams. In a manner analogous to results obtained in optics, we show that an acoustic beam can also exhibit an angular shift when loss is present in the solid and a shift in the focal point when the angle of incidence deviates slightly from the critical angle. The extent of these shifts is given by analytical expressions for beams whose spectral width is small compared to the attenuation constant  $\alpha$  of the Rayleigh wave. For beams having broader spectral widths, we present numerical results for the reflected fields which reveal the presence of relatively strong shifting effects.

## **KEY WORDS**

Acoustic beams, Rayleigh angle, focal shift, angular shift.

## **RÉSUMÉ**

*Le déplacement latéral et la distorsion d'un faisceau optique borné à la réflexion sur une interface liquide-solide ont été étudiés antérieurement lorsque l'incidence est égale à l'angle de Rayleigh. Des résultats analogues à ceux de l'optique dans le cas d'un faisceau acoustique: il y a déplacement angulaire quand le solide est dissipatif, et il y a déplacement du foyer quand l'angle d'incidence diffère légèrement de l'angle critique. Nous présentons des expressions analytiques de ces déplacements dans le cas où la largeur spectrale du faisceau est petite par rapport à la constante d'atténuation  $\alpha$  de l'onde de Rayleigh. Dans le cas de faisceaux plus larges, nous présentons des résultats numériques qui mettent en évidence des déplacements relativement importants pour des champs réfléchis.*

## **MOTS CLÉS**

*Faisceaux acoustiques, angle de Rayleigh, déplacement de foyer, déplacement angulaire.*

**CONTENTS**

- 1. Introduction
- 2. Reflection of paraxial beams
- 3. Incidence near the Rayleigh critical angle  $\theta_R$
- 4. Finite width beams
- 5. Conclusion
- References

**1. Introduction**

The reflection of an acoustic beam incident at the Rayleigh angle from a liquid onto a solid has been studied for well collimated and divergent beams [1-3]; however, the reflection of *convergent* beams, such as those produced by a concave transducer or a lens, has not yet been examined explicitly. The reflection acoustic microscope [4] employs an extreme form of a convergent beam, while beams having more modest convergence can be envisioned for NDE applications.

Previous studies of acoustic-beam reflection have dealt primarily with the lateral shift resulting from coupling to the leaky Rayleigh wave [1-3], or with the strong absorption effect produced by the losses in the solid [5,6]. These effects have also been studied for optical reflection. In addition, the study of optical beams has shown an angular shift in the direction of propagation, and a shift in the distance along the beam axis to the waist or focal plane [7,8]. The angular shift results from the variation of the magnitude  $\rho(\theta)$  of the reflection coefficient  $R(\theta)$  with the incidence angle  $\theta_0$ , while the focal shift is due to the derivative of the phase of  $R(\theta)$  with respect to  $\theta$ .

The present study reviews the derivation of the lateral, focal and angular shifts for the case of a two-dimensional Gaussian beam having small angular divergence. Using the accepted pole-zero approximation [1-3] for  $R(\theta)$ , these shifts are evaluated for incidence near the Rayleigh critical angle  $\theta_R$ . Because this leads to simple but approximate formulas, which hold only for beams with limited angular divergence, numerical calculations are presented that show the effects also for beams having greater divergence.

**2. Reflection of paraxial beams**

A convergent acoustic beam incident at the angle  $\theta_0$  is depicted in Figure 1. The beam is assumed to be two dimensional with no variation along the coordinate perpendicular to the plane of the paper. In the absence of the solid, the beam would be Gaussian

with waist at a distance  $l$  from the origin, as indicated by the dashed lines in Figure 1. The beam that would be reflected geometrically from a rigid surface is also shown with waist at a distance  $l$  along the reflected beam axis. In addition to the  $x, z$  coordinate system,

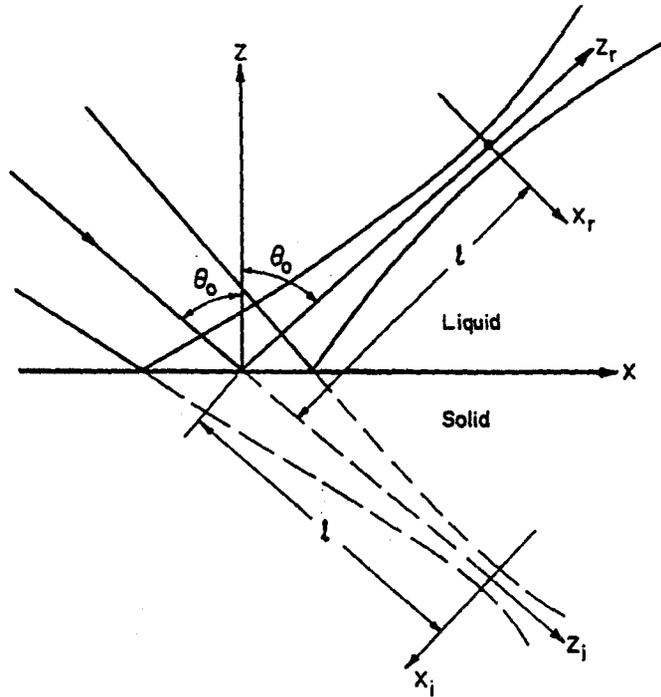


Fig. 1. - Incident and geometrically reflected beams with coordinate systems centered at the foci.

we have indicated the systems  $(x_i, z_i)$  and  $(x_r, z_r)$  for the incident and reflected beams, respectively.

For harmonic time dependence  $\exp(-i\omega t)$ , the pressure of the incident beam is given by:

$$(1) \quad p_i(x_i, z_i) = \frac{w_0}{w_i} \exp[-(x_i/w_i)^2 + ikz_i].$$

Here  $k$  is the wavenumber in the liquid,  $w_0$  is the  $1/e$  half-width of the beam at its waist  $z_i=0$ , and  $w_i$  is defined by:

$$(2) \quad w_i = w_0^2 + i2z_i/k.$$

For a well collimated incident beam, the pressure at the interface is obtained by replacing  $z_i$  by  $-l$  in (2), and setting  $x_i = -x \cos \theta_0$  and  $z_i = -l + x \sin \theta_0$  in (1). The pressure of the reflected beam can be obtained from the Fourier transform of the incident beam multiplied by the plane wave reflection coefficient  $R(k_x)$ , where  $k_x$  is the wavenumber along  $x$ . Thus:

$$(3) \quad p_r(x, z) = \frac{w_0}{\cos \theta_0} \frac{e^{-ikl}}{2\sqrt{\pi}} \times \int_{-\infty}^{\infty} R(k_x) e^{-(k_x - k_i)^2 (w_i/2 \cos \theta_0)^2 + i(k_x x + k_z z)} dk_x,$$

where  $k_i = k \sin \theta_0$  and  $k_z^2 = k^2 - k_x^2$ .

# FAISCEAU BORNÉ, RÉFLEXION ET RÉFRACTION

The integrand in (3) will have significant amplitude only over a narrow interval along the  $k_x$  axis centered at  $k_i$ . As a result, we may approximate  $k_z$  by the Fresnel expansion:

$$(4) \quad k_z \approx k \cos \theta_0 - \tan \theta_0 (k_x - k_i) - \frac{(k_x - k_i)^2}{2 k \cos^3 \theta_0}$$

Using the identity  $R(k_x) = \exp[\ln R(k_x)]$ , we may also approximate  $R(k_x)$  as:

$$(5) \quad R(k_x) = R(k_i) e^{-i(k_x - k_i) L / \cos \theta_0 + i(k_x - k_i)^2 F / 2 k \cos^2 \theta_0}$$

where  $L$  and  $F$  represent the following differential forms evaluated at  $k_x = k_i$ . Using  $\rho = |R(k_x)|$  and  $\Psi = \arg R(k_x)$  these forms are given by:

$$(6 a) \quad L = \cos \theta_0 \left( -\frac{d\Psi}{dk_x} + i \frac{1}{\rho} \frac{d\rho}{dk_x} \right),$$

$$(6 b) \quad F = k \cos^2 \theta_0 \left\{ \frac{d^2 \Psi}{dk_x^2} + i \left[ \left( \frac{1}{\rho} \frac{d\rho}{dk_x} \right)^2 - \frac{1}{\rho} \frac{d^2 \rho}{dk_x^2} \right] \right\}.$$

With the approximations (4) and (5), the integrand of (3) has the form of an exponential with first and second power terms in  $(k_x - k_i)$  so that its solution can be obtained in closed form. The result, when expressed in terms of the  $(x_r, z_r)$  coordinates, is:

$$(7) \quad p_r(x_r, z_r) = \frac{w_0}{w_r} R(k_i) e^{ik_z r} e^{-(x_r - L)^2 / w_r^2},$$

where:

$$(8) \quad w_r^2 = w_0^2 + i \frac{2}{k} (z_r - x_r \tan \theta_0 - F).$$

If the reflecting surface is that of a solid half space, and if  $\theta_0$  is greater than the shear-wave critical angle, then  $\rho = 1$  in the absence of dissipation in the solid for  $k_x$  near  $k_i$ , so that its derivatives vanish. As a result,  $L$  and  $F$  are real quantities. From (7) it is seen that the axis of the reflected beam is shifted to  $x_r = L$ , while the beam waist is shifted to the plane  $z_r = L \tan \theta_0 + F$ . The lateral shift of the beam axis parallel to itself, and the focal shift are depicted in Figure 2. The boundaries of the geometrically reflected beam are shown dashed, and those of the shifted beam are given by the solid curves.

When  $\rho$  varies with  $k_x$ , both  $L$  and  $F$  can have real and imaginary parts, so that  $F = F' + iF''$  and  $L = L' + iL''$ . The imaginary part  $F''$  causes a widening, or narrowing of the  $1/e$  half width at the beam waist, whereas the focal shift is given by the real part  $F'$ . The lateral shift of the beam is given by the real part  $L'$ . To see the significance of  $L''$ , we seek the maximum of the beam amplitude by examining the minima of the real part of the exponent in (7). Substituting  $L'$  for  $x_r$  in (8), and then requiring that the

derivative of  $\text{Re}[(x_r - L)^2 / w_r^2]$  with respect to  $x_r$ , vanish leads to the condition:

$$(9) \quad \frac{x_r - L'}{z_r - L' - F'} = \frac{2L''}{k[w_0^2 + 2F''/k]} = \tan(\Delta\theta).$$

This condition indicates that the direction of propagation of the beam has undergone an angular shift  $\Delta\theta$  from the  $z_r$  axis.

### 3. Incidence near the Rayleigh critical angle $\theta_R$

The variation of the reflection coefficient at a solid surface for angles near  $\theta_R$  has been shown to be dominated by the pole at  $k_p$  and zero at  $k_0$  that lie near  $k_R = k \sin \theta_R$ . This variation is embodied in the approximation:

$$(10) \quad R(k_x) = (k_x - k_0) / (k_x - k_p).$$

Numerical investigations have found that  $\text{Re}(k_0)$  and  $\text{Re}(k_p)$  are very close to  $k_R$ . It is also found that  $\text{Im}(k_p)$  has a contribution  $\alpha_i$  due to leakage or radiation into the liquid, and a contribution  $\alpha_d$  due to dissipation in the solid. In the absence of dissipation, the pole and zero have conjugate locations so that  $\text{Im}(k_0) = -\alpha_i$ . Dissipation in the solid causes the zero to move up in the complex plane by the distance  $\alpha_d$  [3,5]. Thus the pole and zero locations are given approximately by:

$$(11) \quad \begin{cases} k_p = k_R + i(\alpha_d + \alpha_i), \\ k_0 = k_R + i(\alpha_d - \alpha_i). \end{cases}$$

With the reflection coefficient given by (10) and (11), the quantity  $L$  is found to be:

$$(12) \quad L = 2\alpha_i \cos \theta_0 \times \frac{[(k_i - k_R)^2 + (\alpha_i^2 - \alpha_d^2)] + i2(k_i - k_R)\alpha_d}{[(k_i - k_R)^2 + (\alpha_i + \alpha_d)^2][(k_i - k_R)^2 + (\alpha_i - \alpha_d)^2]}.$$

It is also found that:

$$(13) \quad F = k L^2 [(k_i - k_R) - i\alpha_d] / \alpha_i.$$

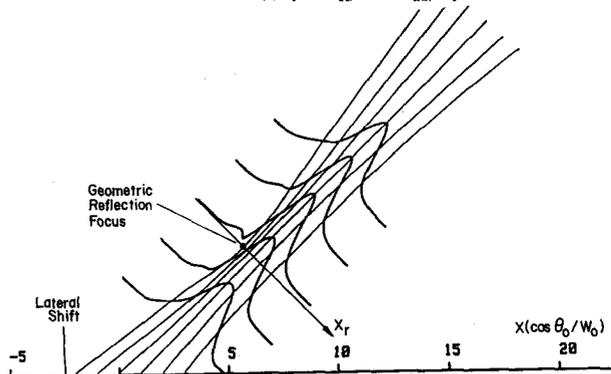


Fig. 4. - Power flow lines and amplitude profiles showing lateral shift for  $\theta_0 = \theta_R = 45^\circ$ ,  $w_0 = 2.12\lambda$ ,  $\alpha_i = 0.08k$  and  $\alpha_d = 0$ .

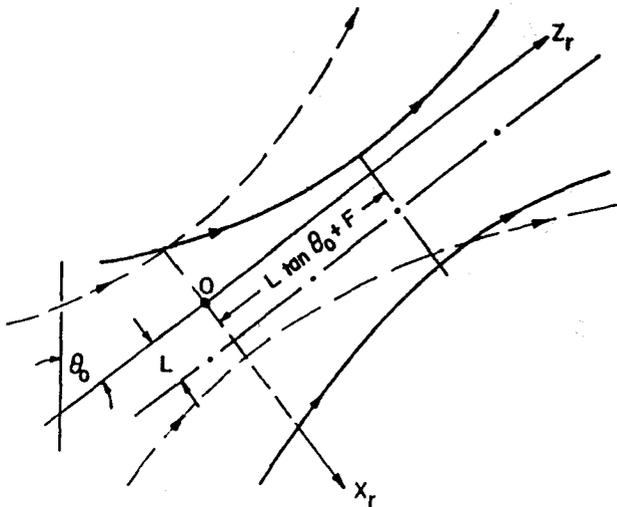


Fig. 2. - Lateral and focal shifts of the reflected beam. Geometrically reflected beam is shown dashed.

When no dissipation is present in the substrate,  $\alpha_d = 0$  and  $L$  and  $F$  are real, as discussed previously. The maximum value for  $L$  occurs when  $k_i = k_R$ , in which case  $L = 2 \cos \theta_0 / \alpha_i$ , which is the well known Schoch displacement. For the phase-match condition, it is seen that  $F = 0$ . The variation of  $F$  with  $k_i$  is depicted in Figure 3 for  $\alpha_d = 0$ . The focal shift can be away from the surface ( $k_i > k_R$ ) or towards the surface ( $k_i < k_R$ ). The maximum focal shift is seen to occur at

$$k_i - k_R = \pm \alpha_i / \sqrt{3},$$

at which points  $|F|/L = 0.86 (k/\alpha_i) \cos \theta_0$ . Since  $k/\alpha_i$  is typically ten or more, the maximum focal shift is at least several times the maximum lateral shift. While the focal shift is larger than the lateral shift, well collimated beams have a large depth of focus, thus making the focal shift more difficult to observe.

When loss is present ( $\alpha_i \neq 0$ ) we see from (12) and (13) that  $L$  and  $F$  are complex. For incidence at the Rayleigh angle,  $L$  is real, in which case  $F'' < 0$ , so that (8) yields

$$\text{Re}(w_r^2) > w_0^2.$$

This condition implies a widening of the beam at its waist. If the beam is incident well away from the Rayleigh angle,  $F''$  can be positive, corresponding to a narrowing of the reflected beam at its waist. For low to moderate loss with  $\alpha_d < (1/2) \alpha_i$ , and assuming

$$w_0^2 \gg R2F''/k,$$

the angular shift is also maximum when  $k_i - k_R \approx +\alpha_i/3$ , for which

$$\Delta\theta \approx 2.6 \alpha_d \cos \theta_0 / k w_0^2 \alpha_i^2.$$

#### 4. Finite width beams

The foregoing analysis applies when (5) is valid over the spatial frequency range  $|k_x - k_i| \leq 2 \cos \theta_0 / w_0$ . For  $R(k_x)$  of (10), this requirement implies that

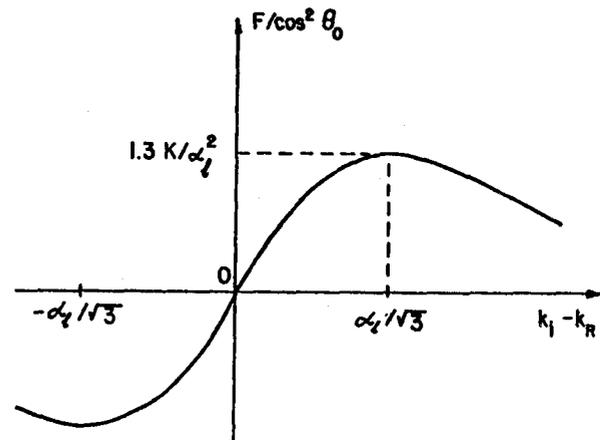


Fig. 3. - Focal shift as a function of the leaky wave attenuation constant.

$2 \cos \theta_0 / w_0$  be substantially less than  $\alpha_i$ . In the far field region, the incident beam has an angular divergence width  $2\theta_m \approx 4/kw_0$ . Thus the results for the various shifts apply when  $2\theta_m$  is substantially less than  $2\alpha_i/k \cos \theta_0$ . As an example, if  $\theta_0 = 45^\circ$  and  $\alpha_i/k$  has value 0.1, then  $2\theta_m$  must be less than  $17^\circ$ . Since  $\alpha_i/k$  is usually less than 0.1, the angular beam width is correspondingly reduced.

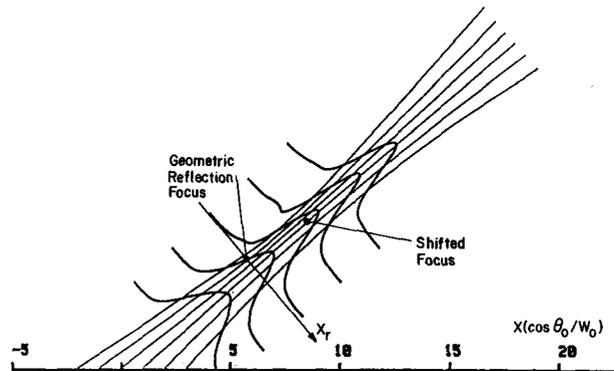


Fig. 5. - Power flow lines and amplitude profiles showing focal shift for  $\theta_0 = 48.3^\circ$ .

Using an approach similar to that of reference [1], we have calculated the power flow lines and amplitude profiles of the reflected beam for  $w_0 = 2.1 \lambda$ , for which  $2\theta_m = 17^\circ$ , when  $\theta_R = 45^\circ$  and  $\alpha_i = 0.08 k$ . The results are plotted in Figures 4 and 5 for angles of incidence  $\theta_0 = 45^\circ$  and  $48.3^\circ$ . Since the half-width of the frequency spectrum for this beam is  $0.11 k$ , which is greater than  $\alpha_i$ , these parameters lie outside the range of validity of the expressions (12) and (13) for the beam shifts, so that only qualitative agreement can be expected.

For  $\theta_0 = 45^\circ$ , the lateral shift  $L$  in Figure 4 is about  $2.1 \lambda$ , which is in fair agreement with the value  $2.8 \lambda$  obtained from (12). A slightly smaller lateral shift is found for  $\theta_0 = 48.3^\circ$ , in agreement with (12). The

# FAISCEAU BORNÉ, RÉFLEXION ET RÉFRACTION

separation between the power flow lines is an indication of beam width and indicates a shift of about  $8\lambda$ , as opposed to the value of  $14\lambda$  predicted by (13).

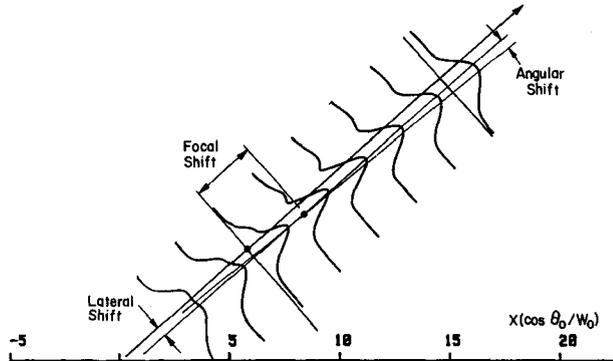


Fig. 6. — Amplitude profiles for showing angular shift  
 $\alpha_i = 0.04k$ ,  $\theta_0 = 48.3^\circ$ .

The effect of loss on the reflected beam is shown in Figure 7 for  $\theta_0 = 48.3^\circ$  and  $w_0 = 2.1\lambda$ . The solid has the same parameters as used in Figures 4-6, except that  $\alpha_d = \alpha_i/2$ . Besides the lateral and focal shifts, the propagation of the beam axis is shifted by about  $2^\circ$  from the specular direction, which is smaller than the value of  $3.4^\circ$  given by (9).

## 5. Conclusion

We have shown that a convergent beam reflected at a liquid-solid interface exhibits a lateral shift, a shift in the location of the focus and an angular shift in the direction of propagation. Simple expressions for these shifts were derived when the beam is incident near the Rayleigh critical angle and the angular divergence of the beam is small. For beams having greater angular divergence, numerical evaluation of the field indicates that the shifts still occur, but with reduced values.

## Acknowledgement

This work was supported by the National Science Foundation and by the Center for Advanced Technology in Telecommunications, which is funded in part by the New York State Science and Technology Foundation under its Centers for Advanced Technology Program.

## REFERENCES

- [1] H. L. BERTONI and T. TAMIR, Unified theory of Rayleigh angle phenomena for acoustic beams at liquid-solid interfaces, *Appl. Phys.*, 2, 1973, pp. 157-172.
- [2] M. A. BREAZEALE, L. ADLER and G. W. SCOTT, Interaction of ultrasonic waves incident at the Rayleigh angle onto a liquid-solid interface, *J.A.P.*, 48, 1977, pp. 530-537.
- [3] T. D. K. NGOC and W. G. MAYER, Numerical integration method for reflected beam profiles near Rayleigh angle, *J.A.S.A.*, 67, 1980, pp. 1149-1152.
- [4] C. F. QUATE, A. ATALAR and H. K. WICKRAMASINGHE, Acoustic microscopy with mechanical scanning-A review, *Proc. IEEE*, 67, 1979, pp. 1092-1113.
- [5] H. L. BERTONI and Y. L. HOU, Effects of damping in a solid on acoustic beams reflected at the Rayleigh critical angle, *Proc. 10th Symp. on NDE*, San Antonio, 1975, pp. 136-142.
- [6] R. L. RICHARDSON, A new technique for measuring metal surface properties at the Rayleigh critical angle, *Proc. 1983 IEEE Ultrasonics Symp.*, Atlanta, pp. 895-897.
- [7] C. K. CARNIGLIA and K. R. BROWNSTEIN, Focal shift and ray model for total internal reflection, *J.O.S.A.*, 67, 1977, pp. 121-122.
- [8] I. A. WHITE, A. W. SNYDER and C. PASK, Direction change of beams undergoing partial reflection, *J.O.S.A.*, 67, 1977, pp. 703-705.